

CERTIFICATE OF MAILING

I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, DC 20231,

on February 16, 1999 (Date of Deposit)

2/16/99 [Signature]
Date Name



PLEASE CHARGE ANY DEFICIENCY OR CREDIT ANY EXCESS IN FEES DUE WITH RESPECT TO THIS APPLICATION TO LUCENT TECHNOLOGIES, INC. DEPOSIT ACCOUNT NO. 12-2325

File No.: 1298/OE751

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: WALDMAN

Serial No.: 09/229,704

Group Art Unit: 2731

Filed: January 13, 1999

Examiner: NOT ASSIGNED

For: **SYSTEM AND METHOD FOR INTERCONNECTING ATM SYSTEMS OVER AN INTERMEDIATE ATM NETWORK USING SWITCH VIRTUAL CONNECTIONS**

Hon. Commissioner of
Patents and Trademarks

Washington, DC 20231

02/24/1999 SLW 009121 1235 492301

01 FC:105 130.00 CH

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration, petition and power of attorney
2. ☐ Information Disclosure Statement (PTO-1449 and references)
3. ☐ Formal drawings, sheets (Figs.)
☐ Informal drawings, sheets (Figs.)

4. ☒ Assignment for recording to: LUCENT TECHNOLOGY
5. ☐ Verified statement claiming small entity status.
PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.
6. ☒ Charge LUCENT TECHNOLOGIES INC. Deposit Account No. 12-2325
\$ 170.00 for the filing fee and Recording of Assignment.

Priority is claimed for this application, corresponding application/s having been filed as follows:

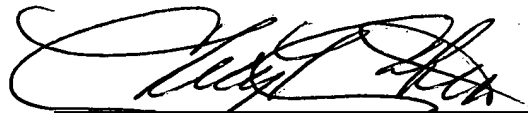
Country:
Number:
Date:

The priority documents ☐ are enclosed
☐ will follow.

The Patent Office is authorized to charge any deficiency in the above fees, and to credit any excess, to Lucent Technologies Inc. Deposit Account No. 12-2325.

Dated: February 16, 1999

Respectfully submitted,



Cheryl Cohen
Reg. No. 40,361
Attorney for Applicant(s)

DARBY & DARBY P.C.
805 Third Avenue
New York, NY 10022
212-527-7700

(D&DForms/PTO-5)

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$760.00
Design Application				\$330.00
Plant Application				\$540.00
Total Claims	16 - 20	- =	0 x \$22.00	\$0.00
Independent Claims	6 - 3	- =	3 x \$82.00	\$246.00
Multiple Dependent Claims		x- if so, add	\$270.00	\$
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$
SUBTOTAL				\$1006.00
[] Small Entity REDUCTION (Half of Subtotal)				\$
Fee for recordation of assignment (\$40.00)				\$
Charge for filing non-English language application (\$130.00)				\$
TOTAL				\$1006.00

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				PAID \$760- .00
Total Claims	16 - 20	- =	x \$22.00	\$ 0.00
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Multiple Dependent Claims		x- if so, add	\$270.00	\$
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$130.00
SUBTOTAL				\$130.00
[] Small Entity REDUCTION (Half of Subtotal)				\$
Fee for recordation of assignment (\$40.00)				\$ 40.00
Charge for filing non-English language application (\$130.00)				\$
TOTAL :				<u>\$170.00</u>

**UNITED STATES DEPARTMENT OF COMMERCE****Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/229,704

01/13/99

WALDMAN

F

1298/OE751

DARBY & DARBY
805 THIRD AVENUE
NEW YORK NY 10022

02/02/99

NOT ASSIGNED

2731

DATE MAILED:

02/02/99

NOTICE TO FILE MISSING PARTS OF APPLICATIONFiling Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center